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JC682 U.S. PTO

Jc997 U.S. PTO  
09/917576  
07/27/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 4366-37  
Avaya Ref.: 401002-A-01-US

Inventors: Mike Krack of 2240 Homestead Court, #306, Los Altos, CA 94024

Express Mail Label No.: EL417661202US

Title: 'METHOD OF PROVIDING SPEECH RECOGNITION FOR IVR AND VOICE MAIL SYSTEMS'

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Specification (Total Pages of Text, including Abstract and Claims: 24)
2. ☒ Drawing(s) (35 USC 113) (Total Sheets: 5) ☐ FORMAL ☒ INFORMAL
3. ☒ Declaration and Power of Attorney (Total Pages: 3) ☒ Signed ☐ Unsigned
4. ☒ Assignment and Agreement Papers (cover sheet & document(s))
5. ☒ Information Disclosure Statement (IDS/PTO-1449)
6. ☒ Copies of IDS Citations (Number of References: 7)
7. ☒ Return Postcard (MPEP 503) (should be specifically itemized)

## FEE CALCULATION:

	(COL. 1) NO. FILED				(COL. 2*) NO. EXTRA		SMALL ENTITY			LARGE ENTITY	
							RATE	FEE		RATE	FEE
BASIC FEE:								\$345.00	OR		\$690.00
TOTAL CLAIMS:	24	-	20		4		X \$9 =		OR	X \$18 =	\$72.00
INDEP. CLAIMS:	3	-	3		0		X \$39 =		OR	X \$78 =	\$0.00
MULTIPLE DEPENDENT CLAIMS							+ \$130 =		OR	+\$260 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2.							TOTAL:				\$762.00

**OTHER INFORMATION:**

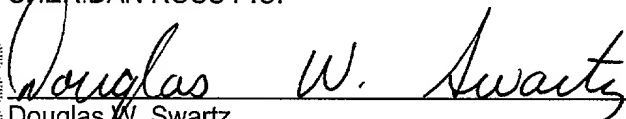
1. ☒ The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to **Avaya Inc.** Deposit Account No. 50-1602.
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under §1.17 to **Avaya Inc.** Deposit Account No. 50-1602.
3. ☒ Correspondence Address:

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4. ☒ Telephone calls should be made to undersigned at (303) 863-9700.

Respectfully Submitted,

SHERIDAN ROSS P.C.



Douglas W. Swartz  
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Attorney for Applicants

Date: July 27, 2001

**Avaya Inc.**  
**211 Mount Airy Road**  
**Basking Ridge, NJ 07920**

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

☐ The undersigned certifies that:

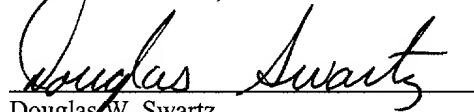
- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).  
☐ A copy of the communication from the foreign patent office is enclosed.

OR

- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

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July 27, 2001  
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